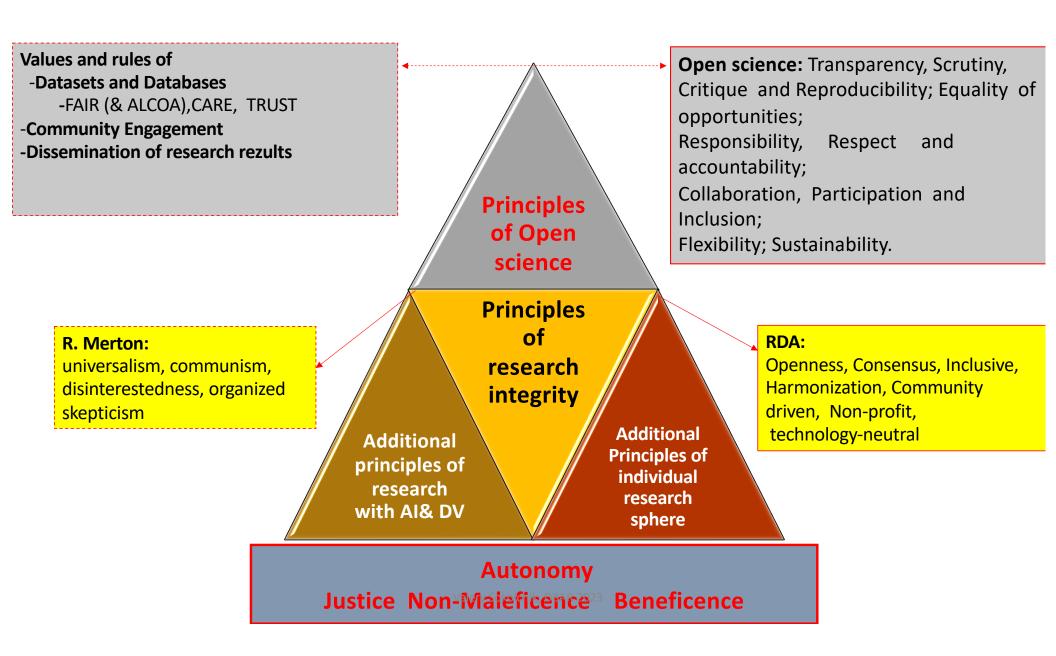# AIDV-WG D4: Guidance for Ethics Committees Reviewing AI and DV

in behalf of WG **Valery Sokolchik**, PhD, Republican Centre on Bioethics, Belarussian Medical Academy of Postgraduate Education (Belarus, Minsk)

Why we need Ethical Committees (REC, IRB, IEC) reviewing AI and DV?

- Protection of Human rights in research (to protect the rights, dignity, welfare, confidentiality, etc. of research volunteers);
- Protection of Human Risks while using AI;
- Control of implementation of ethical principles for AI;
- Control and Realization of open dialogue with society;
- Control and Realization of community engagement and dissemination of knowledge…

- Independent evaluation;
- Evaluating formally and informally;
- Cooperating with institutional secretariats;
- Connecting with scientists and professionals;
- Connecting with public and media;
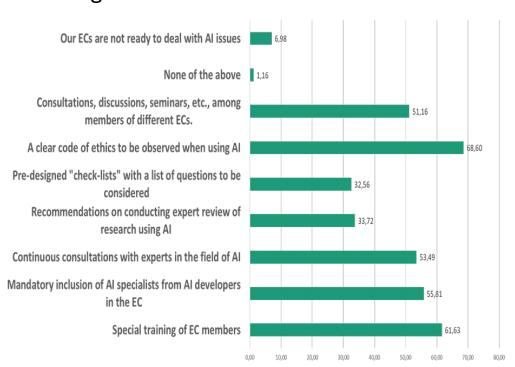- Knowing main principles of scientific work (including principles of using AI and DV)….

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Values and rules of
- **Datasets and Databases**
  - **-**FAIR (& ALCOA),CARE, TRUST
- **Community Engagement**
- **Dissemination of research rezults**

Open science: Transparency, Scrutiny, Critique and Reproducibility; Equality of opportunities; Responsibility, Respect and accountability; Collaboration, Participation and Inclusion; Flexibility; Sustainability.

R. Merton: universalism, communism, disinterestedness, organized skepticism

RDA: Openness, Consensus, Inclusive, Harmonization, Community driven, Non-profit, technology-neutral

Principles of Open science

Principles of research integrity

Additional principles of research with AI& DV

Additional Principles of individual research sphere

Autonomy
Justice Non-Maleficence Beneficence

Does REC can reviewing AI successfully ?
What Ethical Principles do we need for reviewing AI?

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Pilot survey

- **Where?** Countries of Eastern Europe and Central Asia (by google-form)

- **When?** January-February 2023

- **Who?** people involved in biomedical research, members of ethics committees (total 105 participants)

- **What?** The prepared questionnaire included 18 questions divided into three blocks:
  - 1 block - general questions;
  - 2 block - questions related to biomedical research;
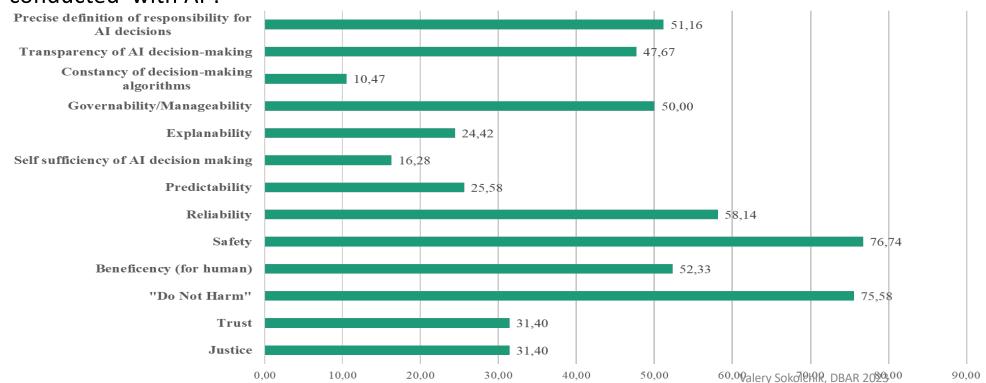 - 3 block - questions related to EC

What would help members of EC for reviewing AI

Category	Value
Our ECs are not ready to deal with AI issues	6,98
None of the above	1,16
Consultations, discussions, seminars, etc., among members of different ECs.	51,16
A clear code of ethics to be observed when using AI	68,60
Pre-designed "check-lists" with a list of questions to be considered	32,56
Recommendations on conducting expert review of research using AI	33,72
Continuous consultations with experts in the field of AI	53,49
Mandatory inclusion of AI specialists from AI developers in the EC	55,81
Special training of EC members	61,63

The Pillars for Ethics Committees when reviewing AI

What ethical principles/attitudes are necessary to address contemporary research conducted with AI ?

Category	Value
Precise definition of responsibility for AI decisions	51,16
Transparency of AI decision-making	47,67
Constancy of decision-making algorithms	10,47
Governability/Manageability	50,00
Explanability	24,42
Self sufficiency of AI decision making	16,28
Predictability	25,58
Reliability	58,14
Safety	76,74
Beneficency (for human)	52,33
"Do Not Harm"	75,58
Trust	31,40
Justice	31,40

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EOSC-Future & RDA Artificial Intelligence/Data Visitation Workgroup
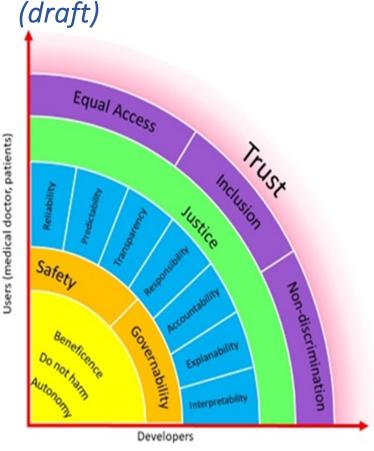
Deliverable 4: Guidance for Ethics Committees Reviewing AI and DV (draft)

Objective principles:

- Safety& robustness
- Governability&accountability
- Explainability &transparency
- *Effectiveness*

Subjective principles

- Justice/fairness
- Trust

EOSC-Future & RDA Artificial Intelligence/Data Visitation Workgroup

Deliverable 4

Guidance for Ethics Committees Reviewing AI and DV

Draft Recommendations Summary:

1. Building multi-disciplinary review committees

2. Develop criteria that details potential risks

3. Develop material to assist with regulatory review of research including AI

4. Develop targeted training to help identify risks of AI

5. Identify ancillary committees to assist with the review of AI

6. ***Appendix:***
    1. *Checklist for REC reviewing research with AI/DV (before the starting of the research)*
    2. *Checklist for REC reviewing research with AI/DV (examining the completed research)*
    3. *Schemes for REC (main ethical principles and values)*
    4. *Survey questions (to determine the Trust in AI/DV)*

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Thank you for your attention!

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