# A Data Governance Framework for an African Health Information Exchange

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# The Provincial Health Data Centre (PHDC)

- An African Health Information Exchange
- Developed and hosted at the Western Cape Department of Health
- ~ 6 million individuals

Routine electronic administrative records

- Individual patients
- Multiple data sources
- Uploaded every night

Linking of data to a Patient Master Index

Facilitated by Province unique health ID







# Unique identifier Linkage and deduplication

Disease monitoring systems (eg HIV / TB)

Laboratory and pharmacy data

Hospital and primary care registration systems

Population register

Many other systems

Health information exchange

or

Data Centre

or

Whatever you want to call it

Clinical viewing

Care cascades and operational reports

Alerting engine (eg. NMC's)

Management reporting

Epi analyses

Business intelligence

Research support and stewardship

**Patient care** 

**Health Systems Strengthening** 

**Academic** 





- 1. Participant Protection Ethics
- 2. Legislation
- 3. Procedural and Structural Data Access Controls
- 4. Sustainability



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# **Participant Protection - Ethics**

- Confidentiality
- Beneficence
- Potential harms
- Vulnerable populations

Poor health, low SES, access to health care

DoH Research approval
HRECS and Ethics approval
Informed consent with review of provided patient information
Anonymisation/aggregation/data perturbation







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# Legislation and legal compliance

#### **POPI** Protection of Personal Information act

Health data are **Special Personal Information** 

Responsible Party: Department of Health

Primary purpose of data collection: Provision of health care

Secondary data use/data repurposing/ data sharing not allowed (unless with informed consent)

#### **HEALTH CARE ACT Patient confidentiality**

#### PAIA Promotion of access to information act

Record keeping of how individuals' data are used

- records of all data access



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#### **Data Access Control - Procedural**

- Systematic processing of data requests.
- Precedence given to OPERATIONAL data requests from within DoH, to enhance health service delivery.
- Detailed SOPs governing data access, use and management.
- Logging of all identifiers used in all studies
- Logging all queries of the database





#### **Data Access Control - Structural**

- Database- and field- specific access restrictions
- Firewalls, passwords
- Data transfer protocols
  - Use purposed platforms e.g. LIFT server, Filesender
  - No emailing of sensitive information
  - Password protection
  - Encryption (e.g. bitlocker, 7zip)
  - Separate file sends for clinical and identifying data
- Separation of demographic and clinical databases and datasets





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# Sustainability

#### **Documentation of:**

- Database structure
- Data processing protocols
- SOPs (Data access process, data handling, etc)

#### **Key tenets:**

- Knowledgebase not reliant on individuals
- Deployment-ready for implementation elsewhere
- Backups and disaster recovery protocols in place



# Thank you



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