

Article

# EMERGENT CHALLENGES FOR SCIENCE SUAS DATA MANGEMENT: FAIRNESS THROUGH COMMUNITY ENGAGEMENT AND BEST PRACTICES DEVELOPMENT

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**Abstract:** The use of small Unmanned Aircraft Systems (sUAS ) as platforms for data capture has rapidly increased in recent years. However, while there has been significant investment in improving the aircraft, sensors, operations, and legislation infrastructure for such, little attention has been paid to supporting the managment of the complex data capture pipeline sUAS involve. The following outlines a community engagement based investigation into what tools, practices, and challenges currently exist for particularly researchers using sUAS as data capture platforms. The key results of this effort are (1) a representation of the key characteristics sUAS captured data both share and have uniquely when compared to traditional remote sensing data, and (2) based on these characterists and community input we define 8 challenges that need to be addressed in order for the full value of sUAS captured data to be realised. We conclude that it is worth while for the community to address these challenges given current industry trends, the potential increase in value of sUAS captured data such would enable, and the anticipated immediate and future costs of not doing so.

**Keywords:** sUAS; drone; RPAS; UAV; Data; Management; FAIR; Community; standards; practices)

## 1. Introduction

Small Unmanned Aircraft Systems sUAS — also known as Remotely Piloted Aircraft Systems (RPAS), Unmanned Aerial Vehicles (UAV), or often colloquially as ‘drones’ — are rapidly becoming a ubiquitous tool for data collection across a wide range of private and public applications worldwide. This includes multiple academic fields (electrical, chamental, and civil engineering; multiple environmental sciences; and others) for which sUAS are changing how and which data are captured. While this new platform shares much with traditional remote sensing and a range of other sensor systems, the particular combination of spatiotemporal resolutions, operational practices, and wide spectrum of heterogeneous data being collected with sUAS has lead to a unique set of data management challenges. Additionally, various global efforts and technological advances in the sphere of data management are opening unique opportunities and potential for sUAS as a nascent technology for environmental sensing.

This paper compiles 4 years of extensive community engagement around the complexities, nuances, and importance of sUAS data management; and seeks to lay the motivations and foundations for future global sUAS user community engagements. We do so by: (1) outlining the potential value gains of normalising good data management practices for sUAS collected research data, (2) detailing the unique complexities of sUAS data while pointing to analogous sectors and existing resources that

31 might be leveraged, and (3) map out the key challenges and needs - identified by the community - as  
32 necessary to realising the full value potential for sUAS data. Henceforth we will use “sUAS data” to  
33 refer to the primary research data captured on-board sUAS , rather than just data relating to the sUAS  
34 platform itself. In many cases the former requires and therefore includes the latter.

35 To provide context for later sections, the remainder of this section outlines the current state of  
36 sUAS use in academia and the corresponding state of sUAS data management. Following which,  
37 Section 2 details the authors’ engagement with the global community on this topic. Section 2 aims  
38 to: summarise what methods of community engagement were undertaken including detailing which  
39 geographical regions and domains of expertise were included; and to highlight others working in this  
40 space and the resources that are currently available through such. Drawing on the outcomes of this  
41 engagement, Section 3 presents the core characteristics of sUAS captured data which are behind the  
42 need for sUAS specific data management practices and infrastructure. Finally, Section 4 discusses the  
43 community distilled key challenges arising from Section 2 and 3, before Section 5 concludes.

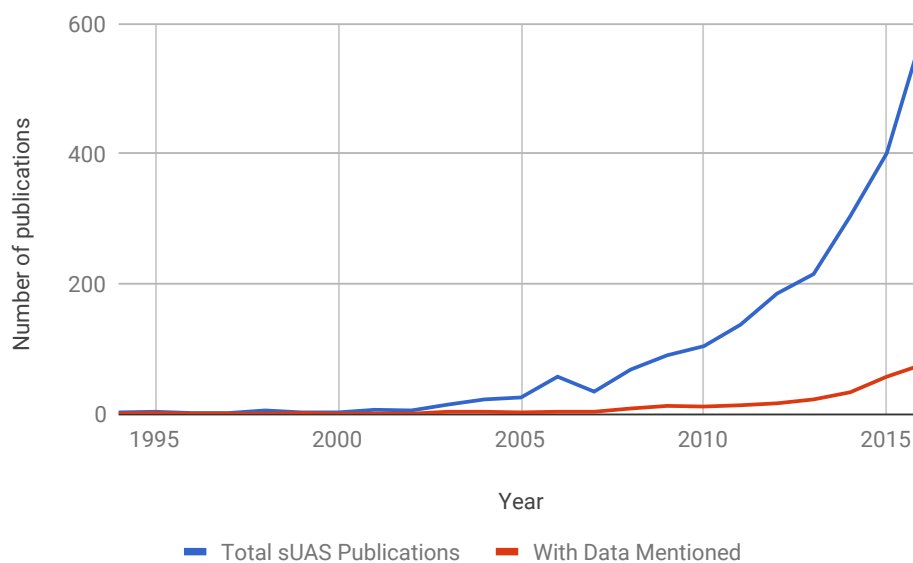
#### 44 1.1. *Current use of sUAS in research*

45 The rapid adoption of sUAS for scientific data collection has been driven largely by the flexible  
46 functionality now possible due to key technological advances: lowered hardware costs, increased  
47 battery energy density, wide spread sensor miniaturisation, and the availability of sophisticated  
48 autopilot hardware and software. Lagging but globally following these technological advances, have  
49 been new national and international aviation regulations [1]. Collectively, the above mean it is now  
50 possible and highly attractive for even small and modestly funded research teams to incorporate sUAS  
51 data into their investigations.

52 As platforms for scientific data collection, sUAS offer several functional advantages when  
53 compared with many traditional methods: (i) the ability to collect higher spatial and or temporal  
54 resolution data; (ii) a reduced impact on sensitive environments being monitored; (iii) lowered risks to  
55 workers and equipment involved in data collection in dangerous environments; (iv) a highly flexible  
56 platform from which an extremely wide range of parameters might be monitored simultaneously,  
57 and (v) access to many data that what would otherwise be practically inaccessible, all (vi) often at  
58 a significantly lower cost than traditional methods might incur [2–4]. sUAS data-sets are therefore  
59 generally parameter rich and uniquely high resolution data-sets, that consequently potentially offer  
60 unique and novel reuse value across multiple academic, commercial, governmental, and non profit  
61 use cases.

62 The value of these advantages to primary data users is clearly evident in the number and domain  
63 variety of recently published peer-reviewed articles that include various terms for sUAS (see Figure 1  
64 and 2). And this growth is more than matched by the commercial sUAS sector, with some forecasts  
65 estimating a market value of USD 100 Billion in the next few years [5–8]. This non-research market is  
66 driving the rapid advancement of sUAS : flight platforms, sensor miniaturisation, wireless telemetry,  
67 sophisticated autonomous navigation, operations, and legislation; to meet the needs of commercial  
68 sUAS use in: Agriculture, Mining, Civil Engineering and Infrastructure, Search and Rescue and  
69 Disaster Responses, Cargo and Data Delivery, Conservation, Entertainment, and many more use cases.

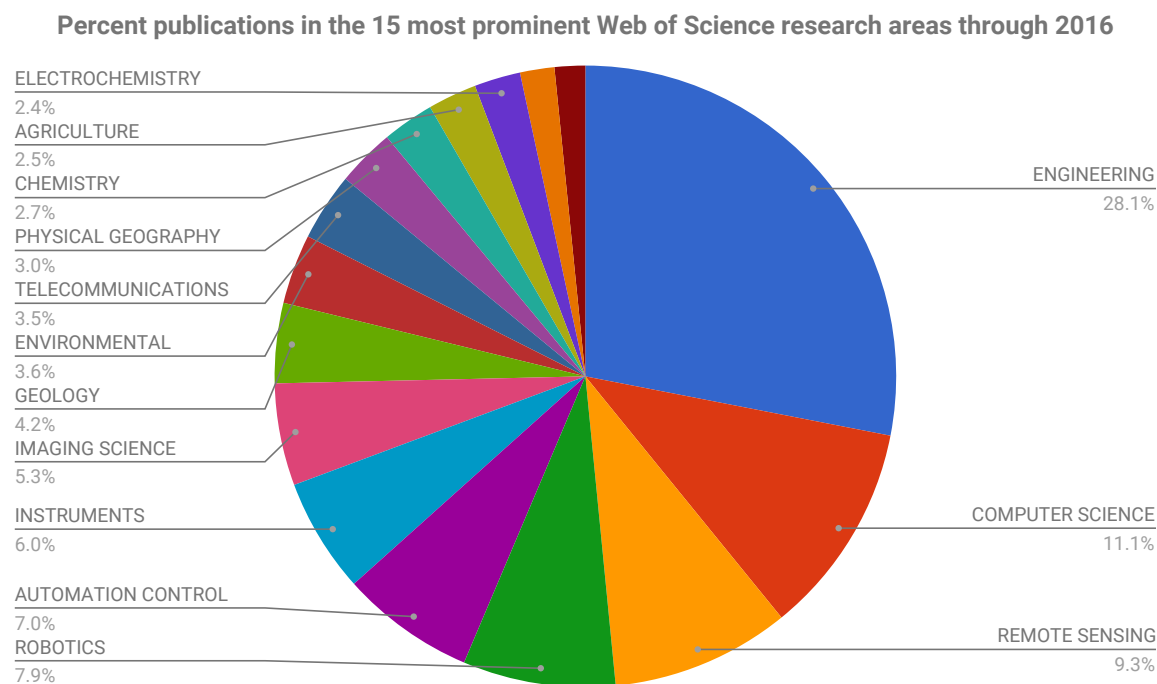
## sUAS publications on Web of Science through 2016



**Figure 1.** sUAS publications in web of science through 2018: As sUAS have become more prevalent as platforms for scientific data collection, there has been a corresponding increase in their prominence within the academic peer-reviewed literature. This chart shows this growth in blue, with the number of publications found in a Web of Science literature search on the topic of sUAS. By comparison, the number of sUAS publications that also referenced the management of data, is shown in orange at a much lower rate. Graph generation including the list of search terms are included in footnote <sup>1</sup>

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<sup>1</sup> Bar: add search information when updated to 2019



**Figure 2.** Percent publications in the 15 most prominent Web of Science research areas through 2016: Based on the same search terms as Figure 1, this categorises the total number of publications returned into the academic field under which they were published through 2016. Graph generation including the list of search terms are included in footnote <sup>2</sup>

70 These advances are being made across and through novel and developing commercialisation  
 71 models as the industry evolves. As a result commercial models include the sale of both products  
 72 and services, and both proprietary and well matured and diverse open source sUAS solutions. For  
 73 researchers, each of these models offers a variety of value trade-offs; between fully customisable and  
 74 scientific purpose built solutions with full access to all metadata at the cost of their own development  
 75 effort and time, through to less configurable but ready to fly platforms, or even full data capture and  
 76 analytics services. The latter generally also involves higher but arguably justifiable monetary costs,  
 77 and provide less contextual information with their data but are easily and rapidly deployed.

#### 78 1.2. Current sUAS data management

79 Research data management infrastructure and procedures have always been important but have  
 80 become more complex and costly as the quantity of available data has significantly increased [9,10].  
 81 Why and how sUAS data management is critical to realising its full value is an outcome of this  
 82 engagement discussed in more detail in Section 3, however, sUAS users who have attempted to publish  
 83 their data are familiar with why it is also particularly challenging. A typical sUAS -based project,  
 84 for instance, will involve multiple stakeholders (e.g. scientists, engineer, pilot), technologies (e.g.  
 85 sUAS , controllers, computers, software systems, sensors, paper notebooks), parameters (e.g. flight  
 86 platform attitude, scientific sensor calibration date and processes, scientific parameters, comensual  
 87 environmental conditions), and complex processes (e.g. data triage, data compression, data pre- and  
 88 post- processing), many of which can impact a data-sets interpretation. Capturing multiple of these  
 89 disparate components is commonly necessary for initial data product generation and interpretation,

<sup>2</sup> Bar: add search information when updated to 2019

90 many will be required for data publication, and an even larger superset would be required by a user  
91 seeking to reuse the data in a future investigation. Unfortunately, as indicated scholarly and scientific  
92 sUAS users represent a relatively small user market with niche needs. Consequently the challenge  
93 of sUAS data management have not yet been widely addressed either by industry stakeholders or  
94 researchers who are largely still exploring sUAS capabilities and potential value.

95 As a result, individual researchers out of necessity are developing their own ad hoc data  
96 management strategies. However, this is problematic in the long term for multiple reasons. First,  
97 this substantially adds to the learning curve of sUAS technologies: new-to-sUAS researchers must  
98 already navigate complex legal, technical, and institutional spaces, and developing a data management  
99 strategy from scratch further increases the required overhead. For researchers specifically seeking to  
100 take advantage of sUAS as a new and otherwise more affordable means of data capture, the economic  
101 and time costs of developing robust data management workflows can be prohibitive.

102 Second, the repeated reinvention of ad hoc data management workflows represents a significant  
103 amount of effort. Not only is this an inefficient use of finite research resources, but these idiosyncratic  
104 workflows pose a roadblock to the development of common tools and workflows. However, lacking  
105 a collective articulation of data management requirements, there is no alternative even for those  
106 motivated to collaborate on the development of common better commercial and open source software  
107 and tools meaning the roadblock will only continue to grow.

108 Third and finally, the lack of common data practices risks lowering the trustworthiness and  
109 reproducibility of Scientific research based on sUAS data. Without shared data practices and methods  
110 of documenting workflows, sUAS data based research is often plagued by poor or heterogeneous  
111 documentation, unknown or unstandardized quality control methods, and methodological uncertainty.  
112 The current opacity of sUAS data workflows makes thorough peer review extremely difficult.

### 113 *1.3. Opportunities for sUAS data management*

114 The described landscape presents a problematic picture, yet the rapid growth of sUAS as a  
115 revolutionary sensor platform across multiple sectors has arrived at a highly opportune moment. Key  
116 developments and shifts in social, political, and particularly academic attitudes worldwide present  
117 a unique opportunity to the sUAS user community - one that has not been available for many other  
118 research technologies. Specifically, the coincidence of the following present an opportunity: (i) the push  
119 for open science and FAIR (Findable Accessible Interoperable Reusable) [9] data, (ii) the corresponding  
120 maturing of data technologies, and (iii) the lack of momentum behind any substandard normalised  
121 practices and the minimal amount of legacy sUAS data currently available that would otherwise  
122 require significant effort to migrate or reprocess. The following elaborates on each of these.

#### 123 *1.3.1. The push for Open Science and FAIRness*

124 At the same time as sUAS are emerging as a standard tool for researchers, the broader research  
125 community is building momentum in actively moving towards normalising open science and FAIR  
126 data practices. This is evidenced by the wealth of work calling for better research practices [11–14];  
127 the numerous calls for improving reproducibility and cross disciplinary data use through better  
128 practices[15–18]; and the many non-academic calls for data sharing from a range of government bodies  
129 [19–21]. The significant traction that the FAIR nomenclature has gained - as a succinct framing of core  
130 good data management practices - demonstrates this momentum further [22–24].

#### 131 *1.3.2. The Corresponding Maturation of Data Technologies*

132 As industry has moved to extract economic advantages from Big Data, the technologies required  
133 to manage, manipulate, and mine value in large and heterogeneous, data-sets of mixed quality  
134 have significantly matured [25–27]. The breadth of associated tools available is extremely wide  
135 but a few high visibility relevant examples include; the growth in capabilities and use of cloud  
136 resources[28–31], Google’s beta Data-set search engine [32] and the required enabling data-set schema,

137 the international Earth and space science community's effort to develop standards that will connect  
138 researchers, publishers and data repositories[33,34], and the increase in efforts to utilise Machine  
139 Learning tools on classical Big Data for a multitude of applications including the Geo-sciences[35,36].

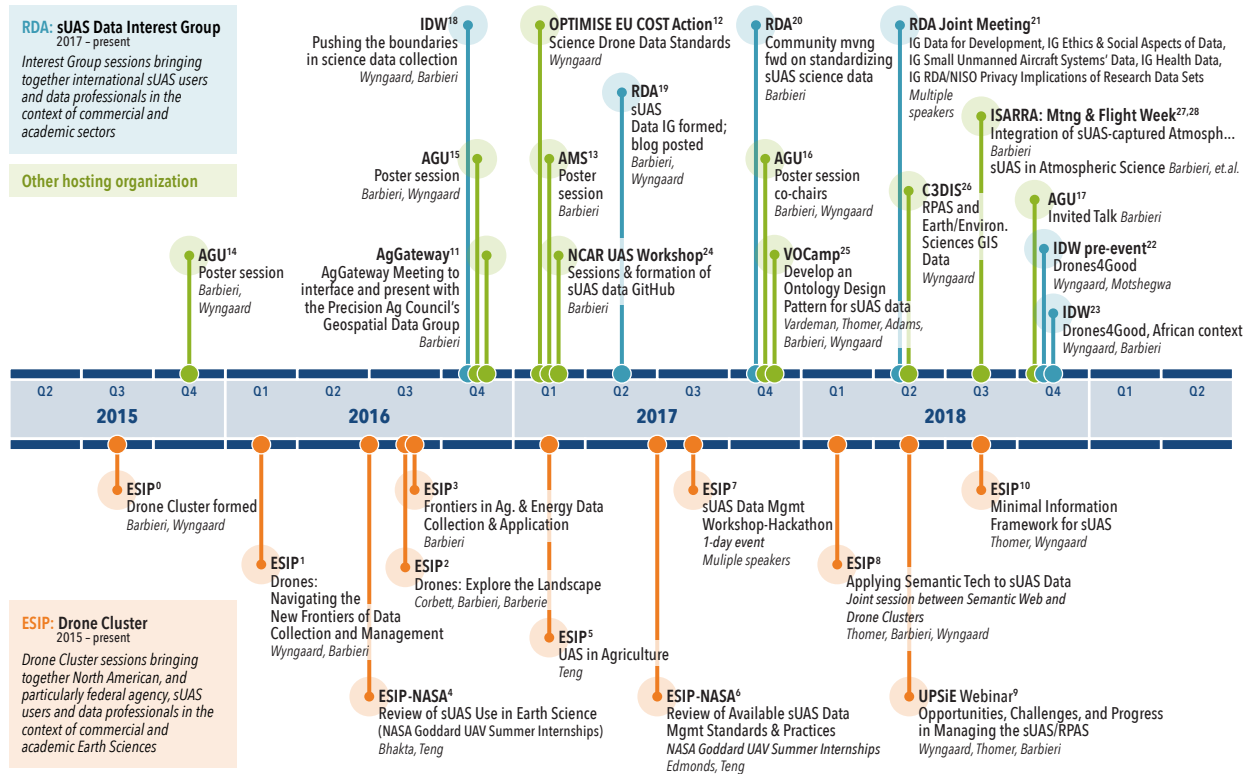
### 140 1.3.3. The lack of norms or legacy sUAS data

141 The lack of community accepted best practices for sUAS data management is both a challenge  
142 and an opportunity. As a new technology, researchers are still grappling with how best to use sUAS  
143 . This provides a window of opportunity within which: (a) with little past effort to be discarded on  
144 previously used methods, the cost of adopting new practices is minimal, and (b) with the net quantity  
145 of sUAS captured scientific data still relatively small, the cost of adopting new formats, metadata  
146 standards, calibration methods — and all of the other crucial components of data archive — will not  
147 be significantly added to by the need for backwards compatibility or mass re-ingestion and processing  
148 of previously captured data. This window, however, is closing rapidly, as researchers globally — out  
149 of necessity — are creating all of these components for themselves in ad hoc and isolated manners,  
150 and rapidly accumulating data.

## 151 2. Materials and Methods

152 In light of the above, over the past 4 years (2015 - 2019), the authors have pursued a wide-ranging,  
153 largely volunteer based, effort, to engage with the nascent community of researchers using sUAS for  
154 data collection on challenges of data management. To begin with this involved looking to both the  
155 emerging sUAS science community and to the many mature analogous domains for applicable best  
156 practices, and included considering standards and conventions used by large scale government and  
157 research institutions using both sUAS and more traditional remote sensing technologies. This was  
158 followed by running multiple workshops and conference sessions with the aim of identifying key needs  
159 and available resources for sUAS data management. The progression of core engagement meetings  
160 involved are shown in 3, at each of which we sought input from both academic and commercial  
161 sUAS users, suppliers, and developers and data management professionals. This process was not  
162 planned out originally, or for the most part directly funded, but driven by researcher needs, the  
163 perceived value opportunity, community request, and by which doors opened when knocked on. In  
164 the following sections the key threads of this engagement are summarised and groups are highlighted  
165 for the purposes of directing interested parties to possible resources or potential starting points for  
166 future efforts.

## 167 2.1. Community engagement



**Figure 3.** This timeline summarise the events the authors have used to engage with governmental organisations, commercial sUAS platform and tool providers, academic scientists, and both commercial and academic data professionals. How do we want to do citations for this - could list urls right here in caption like footnotes/ or add them to the full reference list. The latter, however, will mean significant edits to the diagram are required any time we change any citation in the whole doc....

## 168 2.1.1. Earth Science Information Partners Federation

169 This effort was originally born out of a perceived need within the Earth Science Information  
170 Partners (ESIP) Federation that resulted in the creation of the Drone Cluster[37] in 2015. ESIP is “an  
171 open, networked community that brings together science, data and information technology practitioners. ESIP is  
172 supported by NASA, NOAA, USGS, OGC, and 110+ member organizations” [38]. Since then this cluster  
173 has run multiple sessions at ESIP meetings, hosted interns, and produced prototyping projects[39,40].  
174 At the 2017 Summer ESIP meeting, the cluster held a 1-day workshop on sUAS data where individual  
175 researchers and representatives from multiple commercial (ESRI, DJI, SenseFly, OGC), and federal  
176 (NASA, NIST, NOAA, USGS) organisations attended and presented on their perspectives on sUAS  
177 data management approaches[41].

## 178 2.1.2. Rsearch Data Alliance

179 To engage a more global community (ESIP is a largely North American based organization),  
180 in late 2016 a sUAS Data Interest Group (IG) [42] was chartered within the Research Data Alliance  
181 (RDA). RDA is a multinational organisation funded to “...build the social and technical bridges that enable  
182 open sharing of data.” [43]. Since review and endorsement, the IG has held sessions at each of the  
183 biannual RDA plenaries; through these efforts, it has been possible to initiated working relationships  
184 with multiple other RDA groups pioneering technological, legal, political, and ethical efforts in the  
185 global push for better open data practices and tooling. Further, as an international organisation with

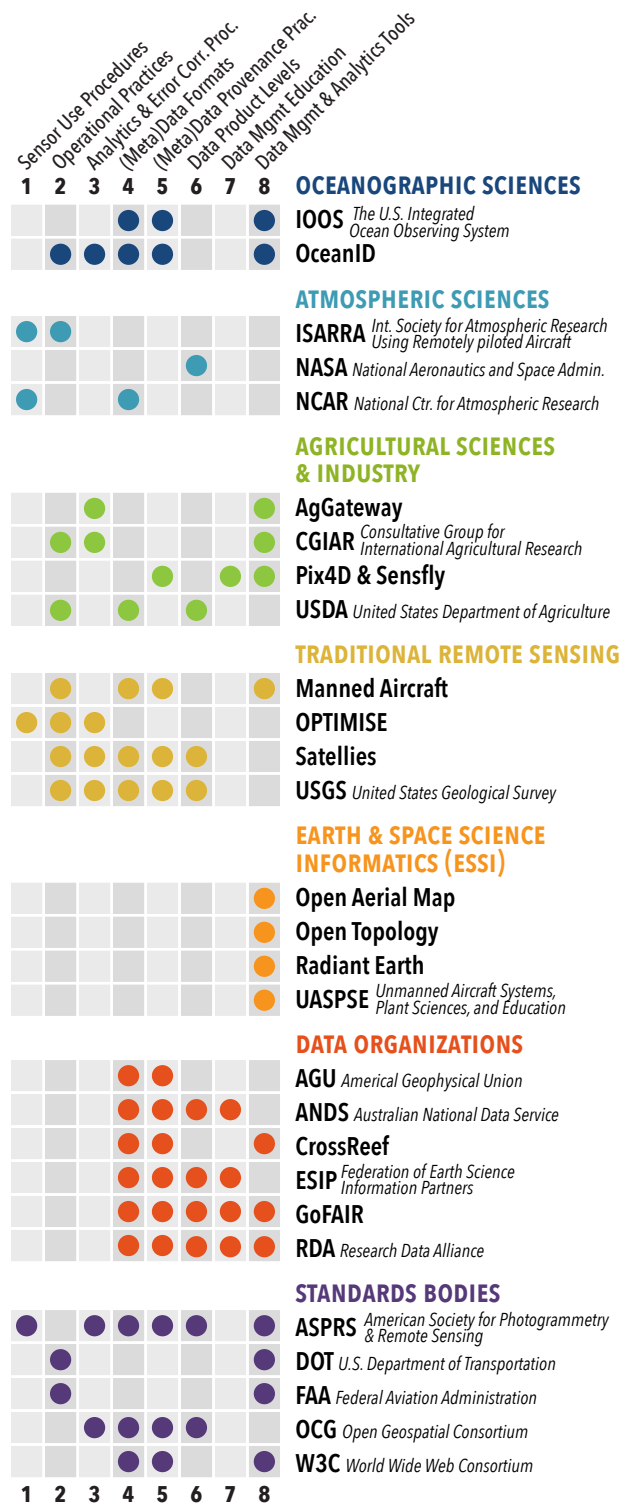


186 annual meetings in both North America and the European Union, it has been possible to engage with  
187 a geographically far larger distribution of researchers.

188 *2.2. Additional key events and communities:*

189 Through and beyond the RDA and ESIP, this effort has been privileged to engage constructively  
190 with multiple groups who are examining issues related to sUAS data. In many cases these groups  
191 are creating resources of value to the broader community, while others are exemplars for the sUAS  
192 community to look to for guidance and foundations. The following seeks to highlight some of these  
193 for two reasons: (1) to facilitate greater collaboration within and across domains where groups have  
194 developed a resources others might reuse and build on, and (2) to propose possible foundational  
195 building blocks from existing analogous efforts. It should be noted, however, that this list is not a  
196 complete set of all relevant parties, and is biased by (a) the practical limitations of who the volunteer  
197 based ESIP and RDA efforts were able to reach, and (b) by the fact that in many cases those doing  
198 notable work simply do not currently have any public facing instance of such . Regardless of these  
199 limitations, Figure 4 summarises which organisations and community groups have been key in  
200 identifying particular challenges to sUAS data management, and the following sections briefly  
201 highlight some of the key community groupswe suggest are worth future reference and further  
202 engagement.





**Figure 4.** The above diagram is a summarised view of the many different communities the authors have interacted with in considering sUAS data management. Additionally, it calls out the eight key challenges to sUAS data management that this paper seeks to highlight. These eight challenges are discussed in detail in Section 3, but are listed here in order to point to the primary sources for such. Each community, organisation, and field listed here has served to call out these needs through reports, papers, posters, conference sessions, community calls, and a multitude of informal conversations at various meetings, flying fields, and hallways. The grouping by common discipline, mandate, or role is an indication of the context within which this effort has engaged with each; but in many cases these communities and organisations are contributing to multiple fields and act in multiple roles.

### 203 2.2.1. Atmospheric Science

204 In February 2017 NCAR's Earth Observing Laboratory (EOL) hosted a workshop "...to collect  
205 information about the needs of the NSF funded community in using sUAS for atmospheric research...".  
206 While the workshop was focused on key issues other than data management the final report [44]  
207 emphasises the need for formal sensor qualification research and the creation of standardised use  
208 procedures, an issue the International Society for Atmospheric Research using Remotely piloted  
209 Aircraft (ISARRA)[45] is also discussing. For instance, the impact of placement of common atmospheric  
210 sensors on multirotors on data quality has now been the subject of multiple studies[46,47]).

### 211 2.2.2. Sciences and Industry

212 The commercial field of precision Agriculture has been evaluating solutions particularly for  
213 data analytics and integration from not just drones but also the many diverse sensor streams feeding  
214 commercial precision farming now. An AgGateway 2017 meeting highlighted these challenges in  
215 a panel, and commercial sUAS providers (Sensefly) have worked with ESRI and Pix4D analytics  
216 tool providers to develop defacto standards for image data [48]. The United States Department of  
217 Agriculture - in addition to hosting a key controlled vocabulary **Bar can you add the citation for this,  
218 also edit this paragraph as you see fit!** - additionally is exploring standardised protocols for sUAS data  
219 capture[49].

### 220 2.2.3. Oceanographic Sciences

221 Underwater gliders are an obvious analogous system to sUAS and the oceanographic research  
222 community has put significant effort into standardising their data management procedures. It may  
223 consequently serve the sUAS community well to adapt some of their tools and practices to its purposes.  
224 Key members of this effort include the US Integrated Ocean Observing System (IOOS) who have a  
225 glider Data Assembly Center (DAC)[50] and have therefore defined a NetCDF standard to which  
226 glider data submitted to their data archive must adhere. The UK Oceanids command and control data  
227 system[51,52], alternatively, have a real time web portal interface to deployed science gliders. The  
228 tool stack created to support this interface was built to enable the automation of both operations and  
229 science data analytics (including data quality control and assurance processes) and is built largely  
230 on standards by the Open Geospatial Consortium (OGC) and World Wide Web Consortium (W3C).

### 231 2.2.4. Traditional Remote Sensing

232 Large scale data management infrastructure built to manage both large scale and small format  
233 satellites and manned aircraft are not entirely portable to sUAS applications for various reasons  
234 discussed in Section 3. However, while many studies continue to explore where sUAS are and are not  
235 the optimal remote sensing solution; a great deal of traditional remote sensing expertise, knowledge,  
236 and infrastructure can be drawn on in building infrastructure for sUAS. The clearest instance of  
237 this is perhaps the use of Photogrammetric techniques in stitching sUAS imagery and the use of  
238 standardised spectral band processing algorithms and indices for sUAS data interpretation. An  
239 example of specific knowledge transfer from remote sensing to sUAS is the work by the EU based  
240 OPTIMISE[53] who have been working on standardised spectral information systems for many years,  
241 and who have most recently expanded to naturally including practices for sUAS mounted spectral  
242 sensors. Their engagement with the spectral sensing community, including a in-depth survey of  
243 optical sUAS practices and community knowledge is ongoing, with initial survey results are available  
244 in[54]. Similarly the United States Geological Survey, who have extensive experience using manned  
245 aircraft, have one of the few publicly accessible sUAS data management plans[55] based largely on  
246 their historical experience and domain knowledge.

247 Finally, the ESA and NASA's culture of making data appropriately open [56,57] and of using or  
248 publishing open source software [58,59] are arguably models for sUAS to follow. While manned aircraft

249 engineering standards are not commonly directly applicable to sUAS , the development processes,  
250 decision metrics, and operational practices used are increasingly applicable as sUAS are integrated  
251 into controlled airspaces. Furthermore, manned aircraft data processing tool stacks are often build  
252 on widely used standards such as those from the OGC's Aviation Domain Working Group[60], again  
253 sUAS would likely do well to follow this example.

### 254 3. Results

255 Emmerging from the above described engagement efforts have been two key results: (1) how  
256 sUAS data are unique and consequently in part require custom management solutions, and (2) eight  
257 challenges to be addressed in order to access the full potential value of sUAS data.

#### 258 3.1. sUAS data are unique and in need of unique management infrastructure

##### 259 **sUAS data are uniquely 4+ dimensional**

260 All sUAS data is associated with a location in both time and 3-dimensional space. While location- and  
261 time- stamped data are not unusual, multiple streams of simultaneously captured values captured  
262 from a moving 3-dimensional trajectory at sporadic temporal intervals such as sUAS enable are  
263 uncommon. Furthermore, to correctly interpret many sUAS data requires additional metadata  
264 streams, such as the time-series stream of the sUAS attitude, or an instantaneous measure of local  
265 luminosity. sUAS data is therefore unique for its mandatory 4+ dimensionality: multiple co-captured  
266 geospatially-tagged measurements of varying precisions, taken within multiple discretised time periods,  
267 along a 3-dimensional trajectory.

##### 268 **sUAS data provide uniquely high spatiotemporal resolutions**

269 sUAS are being used in the sciences largely as they are a low-cost way of quickly capturing high spatial  
270 and temporal resolution data. For instance, spatially, even low cost sUAS can achieve <5cm/pixel  
271 horizontal ground resolution imagery, and they have the entirely unique ability to sample at similar  
272 resolutions in fully customised vertical profiles. Further, temporally, sUAS systems may be deployed  
273 both repeatedly, and dynamically in response to real time changing circumstances, with periodocities  
274 ranging from minutes to years. This high temporal resolution is most visibly advantageous in the  
275 use of sUAS in disaster response (e.g wildfires, flooding, or earthquakes), but it is equally useful in  
276 scientific research that can be subject to both unforeseen changes in long planned observations (e.g  
277 unpredictable wildlife activity, or unforeseen operational restrictions) and spontaneous opportunities  
278 (e.g. an unanticipated flooding event of an area of interest). sUAS consequently are providing a  
279 uniquely high resolution low cost offering that neither manned aircraft systems or satellites — both of  
280 which require months of planning and very large budgets – nor ground based sensors or other low  
281 altitude platforms (e.g. kites, balloons) cannot readily offer.

##### 282 **sUAS data are classically Big**

283  
284 sUAS data are **Big** in all four of the classic *Big Data* characteristic 'Vs' [61]. The *variety* in form, function  
285 and *veracity* of sUAS data is only limited by current sensor miniaturising technology and regulations,  
286 but currently commonly includes both low cost and professional grade: multi- and hyper- spectral  
287 imagery; multiple LIDAR and RADAR sensing technologies; a wide range of gas and particulate  
288 matter sensors; mechansims for water, genomics, and other physical sample capture; and common  
289 time series parameters such as temperature, pressure, humidity, and the local characteristics of  
290 radio frequency signals. The *volume* of data that spectral sensors particularly can quickly capture  
291 is nontrivial, with a single flight able to return tens of GB of raw data. And finally the faster sUAS  
292 mounted sensors can capture quality data, the faster the sUAS can fly, and therefore the larger the area  
293 and amount of data that can be covered in a single flight, all of which mean sUAS data capture rates  
294 are most likely to continue increasing in *velocities* going forwards as technology improves.

296

### sUAS data are increasingly created by small science

Large unmanned system technologies such as unmanned planes or underwater gliders have historically been accessible only to researchers working at large scale and often government based research institutions with the resources to build and maintain large scale research facilities. However, small sUAS have made it possible for small and modestly funded teams of researchers to use unmanned technologies. The adoption of sUAS technology by these smaller and more ad hoc teams has consequences for the management of these data both as it increases the quantity of data being captured by researchers overall, and because it increases the need for common practices that cross discipline boundaries. Whereas large scale research endeavors (sometimes called *big science* often have correspondingly robust plans and infrastructures for data archiving and management, smaller scale teams (sometimes called *small science* or *little science* have correspondingly ad hoc and idiosyncratic data management practice [62,63].

#### 3.2. Eight community distilled sUAS data management challenges to be addressed

- 1. Sensor use procedures:** Sensor specific, tested and qualified use procedural best practices and standards are urgently needed in a common language. These best practice methodology and procedural guidelines should be developed and provided either by the manufacturer or the research community and include: mounting requirements on various platforms, calibration, ground truthing, and maintenance procedures, sample rates, flight patterns, and required metadata for data use and publication. The need for these is both for user ease and so as to enable greater automation in the capture of data provenance. As mentioned existing initial work on this issue has already appeared within the atmospheric community [46,47] and the Agricultural Sciences[48]. While these procedures, are largely currently not instantiated in open machine readable forms, they represent a direction for others to follow and contribute further to.
- 2. Operational practices:** Having best practices regarding operational protocols for scientific research will lower the barrier to entry for new users, allow training materials to be standardised for the many new training courses being created, and reduce the burden on operators which can only lead to safer operations. Further, while many countries have now begun to settle on regulations, many research organisations are still grappling with their own internal policies and protocols. Researcher operational best practices, created based on the experience of those who have been operating for longer, could serve to accelerate organisational protocol deployment in a country agnostic manner. One examples of such that is readily accessible comes from University of Exeter 'sRemote Sensing Laboratory [64].
- 3. Analytics and Error correction procedures:** Best practices and acceptable error tolerances for primary sensor taxonomy branches and the associated processes need to be defined so as to avoid unintentional — but easy to introduce — errors [65]. These are needed equally by tool providers (commercial and open source) so as to allow them to build to a standard, and by user community so as ensure correct data interpretation. Defining such will additionally contribute to efforts to define sensor use best practices and metadata creation, capture, and archive tooling.
- 4. Data and metadata data formats:** Guidelines regarding best practice metadata and data formats would serve the community, not as any form of restriction, but rather as a simple means of reducing workloads for both research sUAS operators and technical developers of: sensors, sUAS platforms, and the many components necessary in a data management tool stack. Having published recommended open formats based on community experience would similarly lower the barrier to novel experiments and enable both open source and commercial developers to create reusable tools.
- 5. Data and metadata provenance practices:** Definitions of what parameters are required to make a data value, set, or product reusable – in potentially other scenarios than that for which it was originally captured or created – is necessary as both a practical guideline for operations and to facilitate the creation of tools to support the automated capture of this provenance.

346 6. **Data product levels:** Defining suggested data product levels for various data types would  
347 facilitate both data archives and single researchers in determining what data should be archived,  
348 at what quality levels, at what resolutions, and with what associated metadata as required for  
349 likely reuse. This could be done for various primary parameter taxonomy branches, such for  
350 spectral data captured for Agricultural Sciences, and for atmospheric time series for Atmospheric  
351 Sciences.

352 A crucial and complex sub-component to data product level definitions is the potential ethics  
353 driven policies that will govern sharing sUAS data. FAIR does not require open access, and  
354 others are exploring the ethical implications of both FAIR and open data in general [66,67]. Not  
355 least because of their historical military associations of sUAS but also due to the potential to  
356 easily violate important privacy restrictions with sUAS mounted sensors, the community needs  
357 to discuss both locally and internationally, what best practices might be for governing sUAS  
358 data's desirable degree and form of openness.

359 7. **Data management and analytics tools:** As shown in 4, many of the relevant organisations  
360 already have some portion of a sUAS data analytics and management tool stack. However,  
361 the tools these bodies offer are only sUAS specific in a minority of cases. Rather, the majority  
362 were developed for other data types and are now being adapted for sUAS . More resources  
363 and effort are therefore necessary to accelerate these adaptations; and it is note worthy that  
364 by addressing the above challenges, it would becomes significantly easier for resource pooling  
365 across development efforts.

366 8. **Data management education:** As the domain grows there is an increasing demand for  
367 introductory information that properly addresses the multitude of new expertise needed to  
368 effectively use sUAS . In response many universities and other institutions are beginning to  
369 formally train research sUAS operators. An acknowledged but core missing component of these  
370 training curricula is any information on comprehensive consideration for science data good  
371 practices. Bringing together data management training and sUAS training offers a convenient  
372 opportunity, but one that depends heavily on investment being made first in the above challenges  
373 .

#### 374 4. Discussion

375 As detailed in Section 3, the primary outcomes of engaging with the nascent sUAS community  
376 are: (a) the identification of how sUAS data are unique and where there are shared characteristics with  
377 more traditional data capture platforms, and (b) that as a result there are eight community identified  
378 challenges to improving sUAS data management.

379 Regarding how sUAS data are unique, the following should be noted. (1) Though there are many  
380 geospatial data formats that capture vector and raster data, stationary time series data, and high  
381 dimensionality data, and while tool stacks exist for processing and managing these data, these tools do  
382 not currently readily support the particular combination of metadata streams and multiple parameter  
383 capture sUAS data often consist of or require for correct interpretation. Similarly (2), the high spatial  
384 and temporal resolutions sUAS data are capable of capturing presents a new complicating factor for  
385 data management infrastructure. These resolutions require both potentially new multidimensional  
386 formats, schemas, and ontologies (or at least new workflow tools for handling the novel combination  
387 of such sUAS data involved), and also demand high processing times, more automated quality control,  
388 and new data product distribution tools. (3), considering that the majority of tool stacks build for  
389 *BigData* assume operation on cloud or at least mains powered computing resources, while the veracity,  
390 variability, velocity, and volume of sUAS data might be equivalent or even lower than common  
391 *BigData* tasks, in many cases the need is for processing of such on low power or low bandwidth  
392 edge compute devices. And finally, (4) research has shown [Andrea - citation?](#), that the range of data  
393 practices utilised by smaller teams should be considered a feature rather than a *bug*; this is because the  
394 data workflows and practices must be customized to the unique contexts and goals of a given group,

395 project, and organizational structure. Standardized workflows across all smaller research teams are  
396 neither achievable nor desirable. Consequently, sUAS data management solutions need to be created  
397 with the necessarily diverse data practices of a small lab researcher specifically in mind, and this is all  
398 the more so true given the wide spectrum of disciplines sUAS users include.

399 Regarding the challenges outlined. As new sensors, sUAS platforms, and analytics techniques  
400 develop, it is clear that addressing solutions to these challenges will require updates and extensions.  
401 However, initial efforts on each are the only way to ensure such periodic updates, extensions, and  
402 community driven maintenance will be plausibly practical, sustainable, and backwards compatible to  
403 any degree in the long term. Further, by initiating the development of solutions to any of the following  
404 in a collaborative manner with a view to long term sustainability, partial solutions will be both  
405 immediately accessible for use by others and accessible for extension, iteration, and improvement such  
406 that gradually more complete solutions naturally arise. That is, provided long term maintainability  
407 and extensibility are considered in initial work.

## 408 5. Conclusions

409 The use of sUAS for data capture is increasing rapidly, both for commercial applications and as a  
410 new platform for data capture for a wide and diverse spectrum of research fields. As a nascent field  
411 with many avenues of development underway to increase both operational and scientific platform  
412 maturity, the issue of managing and optimising the data flow from sample to knowledge product has  
413 not been extensively explored. This paper describes an effort to explore what resources are currently  
414 available for handling sUAS data, what approaches are currently being used, and where there are  
415 challenges to fully realising sUAS data's value. As a largely unfunded effort subject largely to the  
416 authors abilities to take advantage of opportunities that either arose organically or were comensually  
417 available, this exploration was not comprehensive. It has, however, engaged with a significant breadth  
418 of domain users, developers, commercial participants, and analogous mature fields from which sUAS  
419 might learn. In addition to finite scope, a key limitation in this engagement is that the majority of work  
420 was done in North America, however, this was not exclusive with 6 out of the 28 formal engagements  
421 listed occurring elsewhere in the world. To the best of our knowledge this is the only effort to achieve  
422 the above at any international scale. There are two significant novel outcomes of this work.

423 (1) The identification of the combination of characteristics that sUAS data commonly exhibit,  
424 shows that while it shares many traits with more traditional methods of data capture, the combined  
425 differences mean existing infrastructure as currently developed and deployed is not capable of enabling  
426 users fully realise the potential value of sUAS data. These primary characteristics were: (i) sUAS data  
427 are uniquely 4+ dimensional, (ii) sUAS data provide uniquely high spatiotemporal resolutions, (iii)  
428 sUAS data are classically Big, and (iv) sUAS data are increasingly created by small science.

429 (2) The detailing of eight specific challenges that must be addressed in order for sUAS to become a  
430 trusted, reliable, and optimally useful data capture platform: (i) Sensor use procedures, (ii) Operational  
431 practices, (iii) Analytics and Error correction procedures, (iv) Data and metadata data formats, (v) Data  
432 and metadata provenance practices, (vi) Data product levels, (vii) Data management and analytics  
433 tools, (viii) Data management education.

434 Based on these, we conclude that a conscience and determined effort by a global selection  
435 of researchers, to openly draft community driven data management best practices for the capture  
436 and management of sUAS data, would likely realise many gains and be an important step towards  
437 supporting the reproducibility and reliability of drone data research, as well as increasing the reuse  
438 of sUAS data. In the immediate, it would cost time and effort, but in the very near future it would  
439 achieve: (i) significantly reduce the total quantity of poorly curated sUAS data likely to otherwise be  
440 lost in the near future, (ii) minimise the length of what will otherwise be an extended period of partial  
441 and inadequate data management tooling for sUAS users making operations inefficient over a longer  
442 period of time than necessary, (iii) allow the community to circumvent the familiar larger and more  
443 expensive challenges of legacy data rescue and community wide retooling, and retraining, (iv) lower



444 the barrier to entry for researchers entering the field and seeking to produce robust and reusable data.  
 445 (v) enable collaborative rather than disparate and ad hoc building of common sUAS data infrastructure,  
 446 and finally (vi) increase the transparency of sUAS data processing workflows. However, the window  
 447 of opportunity within which to craft such is finite and closing, given the immediate need for data  
 448 tooling and practices and already growing set of sUAS data.

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 450 contributions must be provided. The following statements should be used “conceptualization, X.X. and Y.Y.;  
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## 462 Abbreviations

463 The following abbreviations are used in this manuscript:

464 sUAS Small Unmanned Aircraft Systems  
 465 IG Interest Group

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